



COUNTY OF SANTA CRUZ

EDITH DRISCOLL
AUDITOR-CONTROLLER-TREASURER-TAX COLLECTOR
701 OCEAN STREET, SUITE 100, SANTA CRUZ, CA 95060-4073
(831) 454-2500 FAX (831) 454-2660

January 27, 2022

To whom it may concern:

By publicly posting the following written notice on its website, the County of Santa Cruz, California and its related entities (collectively, the “County”) intends that market participants receive and use it for purposes of the independent registered municipal advisor exemption to Rule 15B of the Securities and Exchange Commission regarding Registration of Municipal Advisors. This notice may be relied upon until it is removed from this website or replaced with a subsequent notice.

The County has retained Harrell & Company Advisors as our registered municipal advisor (“Municipal Advisor”). The County will rely on the advice of its Municipal Advisor (in the sense that it will seek and consider the advice, analysis and perspective of, before making a determination) in considering information (other than general information that does not involve a recommendation) that market participants provide to us regarding municipal financial products or/and the issuance of municipal securities. Persons seeking to rely on the independent registered municipal advisor exemption should conduct their own diligence as to whether the Municipal Advisor listed above is “independent” for purposes of the exemption. The County makes no representations or warranties regarding whether the Municipal Advisor is independent for purposes of the exemption. Information about the personnel currently associated with the Municipal Advisor may be obtained from the Municipal Securities Rulemaking Board website.

All proposals related to any County financing and other communications to the County shall be addressed to the County’s Auditor-Controller-Treasurer-Tax Collector at 701 Ocean Street, Room 100, Santa Cruz, CA 95060, or via email at Edith.Driscoll@santacruzcounty.us. If the written proposal received will be seriously considered by the County, then we will share it with the Municipal Advisor. Questions or requests for additional information regarding our relationship with our Municipal Advisor should be addressed to the Auditor-Controller-Treasurer-Tax Collector using the same contact information noted above.

COUNTY OF SANTA CRUZ

DocuSigned by:

2340485E9BBC4F7...
Edith Driscoll

Auditor-Controller-Treasurer-Tax Collector